

Applicant: Michael T. Carley et al.
 Serial No.: 10/616,832
 Filing Date: July 9, 2003
 For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING
 THEM

Att'y Docket No.: 16497.1.1.4
 Group: 1762



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
JLS 1	3,604,425	09/14/1971	LeRoy
2	3,757,629	09/11/1973	Schneider
3	3,805,337	04/23/1974	Branstetter
4	4,217,902	08/19/1980	March
5	4,396,139	08/02/1983	Hall et al.
6	4,485,816	12/04/1984	Krumme
7	4,505,274	03/19/1985	Speelman
8	4,586,503	05/06/1986	Kirsch et al.
9	6,665,906	05/19/1987	Jervis
10	4,777,950	10/18/1988	Kees, Jr.
11	4,860,746	08/29/1989	Yoon
12	4,865,026	09/12/1989	Barrett
13	4,917,087	04/17/1990	Walsh et al.
JLS 14	4,950,258	08/21/1990	Kawai et al.

Examiner: /James L Swiger/ (10/07/2006) Date Considered: 10/07/2006

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FORM PTB-1449	ATTY. DOCKET NO. 701879.4006	SERIAL NO. Not Yet Assigned	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: Michael Carley et al.	
(Use several sheets if necessary)		FILING DATE: Herewith (7/9/2003)	GROUP: Not Yet Assigned

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
JLS		WO 00/56227	9/28/2000	PCT				
JLS		WO 00/56223	9/28/2000	PCT				

DOC SOC1:139716.1 701879-4006 J2G EXAMINER: /James L Swiger/ (10/07/2006)	DATE CONSIDERED: 10/07/2006
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Information Disclosure Statement – Section 9 PTO-1449

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet : 1 of 1

			
<input checked="" type="checkbox"/> Complete if Known			
		Application Number	10/616,832
		Filing Date	July 9, 2003
		First Named Inventor	Michael T. Carley
		Art Unit	1762
		Examiner Name	To be assigned
(use as many sheets as necessary)			
Sheet	1	of	1
		Attorney Docket Number	701879.4-006

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
JLS	1.	US 6,623,510 B2	09/23/2003	Carley et al.
JLS	2.	US 6,276,704 B1	04/27/2004	Loshakove et al.

FOREIGN PATENT DOCUMENTS

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Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document
		Country ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	T ⁶

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JLS	3.	DEROWE, A. et al., "Vascular Port Device", PCT Publication No. WO 99/62408, 12/09/1999.	
	4.		
	5.		

Examiner Signature	DOCSOC1:151979.1 701879-4006J2G /James L Swiger/ (10/07/2006)	Date Considered	10/07/2006
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicants unique citation designation number (optional). ³See Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 801.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JLS	15	5,007,921	04/16/1991	Brown
	16	5,026,390	06/25/1991	Brown
	17	5,053,008	10/01/1991	Bajaj
	18	5,108,420	04/28/1992	Marks
	19	5,156,609	10/20/1992	Nakao et al.
	20	5,176,648	01/05/1993	Holmes et al.
	21	5,192,302	03/09/1993	Kensey et al.
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	23	5,304,184	04/19/1994	Hathaway et al.
	24	5,334,217	08/02/1994	Das
	25	5,366,458	11/22/1994	Korthoff et al.
	26	5,478,354	12/26/1995	Tovey et al.
	27	5,527,322	06/18/1996	Klein et al.
	28	5,674,231	10/07/1997	Green et al.
	29	5,683,405	11/04/1997	Yacoubian et al.
	30	5,695,505	12/09/1997	Yoon
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JLS	32	5,782,861	07/21/1998	Cragg et al.

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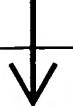
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JLS	33	5,810,846	09/22/1998	Vimich et al.
	34	5,810,851	09/22/1998	Yoon
	35	5,830,125	11/03/1998	Scribner et al.
	36	5,879,366	03/09/1999	Shaw et al.
	37	5,910,155	06/08/1999	Ratcliff et al.
	38	5,984,934	11/16/1999	Ashby et al.
	39	6,007,563	12/28/1999	Nash et al.
	40	6,036,720	03/14/2000	Abrams et al.
	41	6,077,281	06/20/2000	Das
	42	6,077,291	06/20/2000	Das
	43	6,080,182	06/27/2000	Shaw et al.
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	45	6,113,612	09/05/2000	Swansen et al.
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	48	6,582,452	06/24/2003	Coleman et al.
↓	49	6,719,777	04/13/2004	Ginn et al.
JLS	50	6,926,731	08/09/2005	Coleman et al.

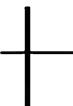
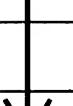
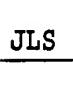
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<u>JLS</u> 51	2001/0047180	11/29/2001	Grudem et al.
 52	2002/0082641	06/27/2002	Ginn et al.
 53	2004/0010285	01/15/2004	Carley et al.
<u>JLS</u> 54	2004/0039414	02/26/2004	Carley et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
<u>JLS</u> 55	WO 00/56223	09/28/2000	PCT
 56	WO 00/56227	09/28/2000	PCT
 57	WO 00/07640	02/17/2000	PCT
 58	WO 98/24374	06/11/1998	PCT
 59	WO 97/20505	06/12/1997	PCT
 60	WO 01/91628	12/06/2001	PCT
<u>JLS</u> 61	FR 2722975	02/02/1996	France

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

ML0000002770V001

Examiner: /James L Swiger/ (10/07/2006) Date Considered:

10/07/2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known	
JUN 24 2004 (use as many sheets as necessary)		Application Number	10/787,073
		Filing Date	February 24, 2004
		First Named Inventor	Michael T. Carley
		Art Unit	3731
		Examiner Name	To be assigned
Sheet	1	of	1
		Attorney Docket Number	701879.4-013

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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JLS	1.	US 6,623,510 B2	09/23/2003	Carley et al.
JLS	2.	US 6,276,704 B1	04/27/2004	Loshakove et al.

FOREIGN PATENT DOCUMENTS

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JLS	3.	DEROWE, A. et al., "Vascular Port Device", PCT Publication No. WO 99/62408, 12/09/1999.	
JLS	4.	LASHAKOVE, A. et al., "Vascular Closure Device," PCT Publication No. WO 00/56223, 9/28/2000	
JLS	5.	LASHAKOVE, A. et al., "Advanced Closure Device," PCT Publication No. WO 00/56227, 9/28/2000.	

Examiner Signature DOCSOCTI:151983.1
701879-4013 J2G /James L Swiger/ (10/07/2006) Date Considered 10/07/2006

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